

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : ANNE-CLAIRE PLISKA, ET AL.
 Application No. :
 Filed : Herewith
 Confirmation No. :
 For : APPARATUS AND METHOD FOR REAL-TIME OPTICAL
 FIBER COUPLING
 Examiner :
 Attorney's Docket : FELD-132XX

TC Art Unit:

 I hereby certify that this correspondence is being deposited with the
 United States Postal Service as first class mail in an envelope
 addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA
 22313-1450 on _____.

By: _____

Charles L. Gagnebin III
 Registration No. 25,467
 Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

It is desired to cite for the record in this application the
 enclosed references listed on the attached copy of PTO Form #1449. The
 paragraph(s) marked below are applicable to this Information Disclosure
 Statement.

- [X] (1) Pursuant to 37 C.F.R. § 1.97(b)(1) and (2), the attached
 Information Disclosure Statement is being filed within three
 months of the filing date of the above identified national
 application or within three months of the date of entry of the
 national stage as set forth in 37 C.F.R. § 1.491 of the above
 identified application. Accordingly, applicant(s) believes that
 no fee or statement under 37 C.F.R. § 1.97(e) is required.

- [] (2) Pursuant to 37 C.F.R. § 1.97(b)(3), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (3) Pursuant to 37 C.F.R. § 1.97(b)(4), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (4) Pursuant to 37 C.F.R. § 1.97(c), the attached Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
- [] a statement under 37 CFR § 1.97(e); or
- [] the fee set forth in § 1.17(p).

PETITION UNDER 37 CFR § 1.97(d)

- [] (5) Pursuant to 37 CFR § 1.97(d), applicant(s) hereby petitions the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e) (1)

- [] (6) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e) (2)

- [] (7) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of

Attorney Docket No.: FELD-132XX

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information contained in the attached Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Where the status of the application has changed, unknown to the applicant, such that the boxes checked are no longer applicable, the Commissioner is authorized to accept this submission with any additional fees required by that change charged to Deposit Account No. 23-0804.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 C.F.R. § 1.98(a)(3) even if in a foreign language because the codes are the same in all languages. However, applicant(s) does not necessarily adopt the position reflected by that report.

These references were cited in a European Search Report (copy enclosed) which issued in corresponding European patent application No. EP 03 405 112.8 filed February 21, 2003.

Attorney Docket No.: FELD-132XX

Filed: Herewith


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Confirmation No.:

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-0804. Triplicate copies of this letter are enclosed.

Respectfully submitted,

ANNE-CLAIRE PLISKA, ET AL.

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CLG/mc/302098-1
Enclosure



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number
EP 03 40 5112

DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
X,D Y	US 6 280 100 B1 (HAAKE JOHN M) 28 August 2001 (2001-08-28) * abstract; figures 4,5,11 * * column 9, line 22 - line 48 * ---	1-5,12, 14 6,7	G02B6/42
X Y	US 6 456 751 B1 (HELKEY ROGER JONATHON ET AL) 24 September 2002 (2002-09-24) * abstract; figures 4,5 * * column 4, line 39 - column 5, line 17 * ---	1,5,12, 14 6,7	
A	ENIKOV E T ET AL: "Three-dimensional microfabrication for a multi-degree-of-freedom capacitive force sensor using fibre-chip coupling" JOURNAL OF MICROMECHANICS AND MICROENGINEERING, DEC. 2000, IOP PUBLISHING, UK, vol. 10, no. 4, pages 492-497, XP009014498 ISSN: 0960-1317 * the whole document * ---	1-14	
A	US 5 881 198 A (HAAKE JOHN MARTIN) 9 March 1999 (1999-03-09) * abstract; figure 11 * ---	1-14	TECHNICAL FIELDS SEARCHED (Int.Cl.7) G02B
A	US 2002/110328 A1 (BISCHEL WILLIAM K ET AL) 15 August 2002 (2002-08-15) * abstract; figure 9 * * page 15, paragraph 124 * * page 16, paragraph 128 * -----	1-14	
The present search report has been drawn up for all claims			
Place of search MUNICH		Date of completion of the search 29 July 2003	Examiner Jakober, F
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

**ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.**

EP 03 40 5112

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

29-07-2003

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US 6280100	B1	28-08-2001	NONE		
US 6456751	B1	24-09-2002	NONE		
US 5881198	A	09-03-1999	US	5602955 A	11-02-1997
			US	5606635 A	25-02-1997
			EP	0927375 A1	07-07-1999
			WO	9812587 A1	26-03-1998
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